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| TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | ATTORNEY'S DOCKET NUMBER 095309.57639US |
| INTERNATIONAL APPLICATION NO. PCT/EP2004/011239 | INTERNATIONAL FILING DATE October 8, 2004 | U.S. APPLICATION NO. (If known, see 37 CFR 1.52) 10/577807 |
| TITLE OF INVENTION TOOL AND METHOD FOR THE INTERNAL HIGH PRESSURE FORMING OF A HOLLOW SECTION | | PRIORITY DATE CLAIMED October 28, 2003 |
| APPLICANT(S) FOR DO/EO/US Helmut AUGUSTIN, et al. | | |

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

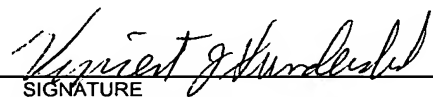
- ☒ This is a **FIRST** submission of items concerning a submission under 35 U.S.C. 371.
- ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371.
- ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- ☐ The US has been elected (Article 31).
- ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - ☐ is attached hereto (required only if not communicated by the International Bureau).
 - ☒ has been communicated by the International Bureau.
 - ☐ Is not required, as the application was filed in the United States Receiving Office (RO/US).
- ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - ☒ is attached hereto.
 - ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
- ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - ☐ are attached hereto (required only if not communicated by the International Bureau).
 - ☐ have been communicated by the International Bureau.
 - ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - ☐ have not been made and will not be made.
- ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- ☒ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98, Form PTO 1449, International Search Report dated December 28, 2004 including an English Translation of the pertinent portion (Ten (10) pages; German Office Action dated November 24, 2004 (Two (2) pages) and Seven (7) cited non-US references.
- ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- ☒ A preliminary amendment. (Ten (10) pages).
- ☒ An Application Data Sheet under 37 CFR 1.76. (Five (5) pages).
- ☒ A substitute specification. (marked-up/clean copy thereof).
- ☐ A power of attorney and/or change of address letter.
- ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.
- ☐ A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- ☒ Other items or information: Form PCT/IB/308 (One (1) page); Three (3) sheets of Drawing Containing Figs. 1-5.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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|--|-------------------------------------|---|---|--|------------|
| U.S. APPLICATION NO. 10/577807 (To Be Assigned) | | INTERNATIONAL APPLICATION NO. PCT/EP2004/011239 | | ATTORNEY'S DOCKET NUMBER 095309.57639US | |
| The following fees have been submitted | | | | CALCULATIONS PTO USE ONLY | |
| 21. | <input checked="" type="checkbox"/> | Basic national fee (37 C.F.R. §1.492(a))..... | \$300.00 | \$300.00 | |
| 22. | <input checked="" type="checkbox"/> | Examination fee (37 C.F.R. §1.492(c)) | | | |
| If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) | | | | \$0.00 | |
| All other situations | | | | \$200.00 | \$200.00 |
| 23. | <input checked="" type="checkbox"/> | Search fee (37 C.F.R. §1.492(b)) | | | |
| If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) | | | | \$0 | |
| Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority | | | | \$100 | |
| International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB..... | | | | \$400 | |
| All other situations | | | | \$500 | \$400.00 |
| TOTAL OF 21, 22 AND 23 = | | | | \$900.00 | |
| <input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of each additional 50 or fraction thereof (round up to a whole number) | | RATE | |
| 22 - 100 | 0/ 50 = | 0 | | x 250 \$ | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). | | | | \$130.00 | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| Total claims | 20- 20 | 0 | x 50 \$ | | |
| Independent claims | 2- 3 | 0 | x 200 \$ | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | | | + 360 \$ | | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$1030.00 | |
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. | | | | | |
| SUBTOTAL = | | | | \$1030.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i))..... + | | | | \$ | |
| TOTAL NATIONAL FEE = | | | | \$1030.00 | |
| Fee for recording the enclosed assignment (37 CFR 1.21 (h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property..... + | | | | \$ | |
| TOTAL FEES ENCLOSED = | | | | \$1030.00 | |
| | | | | Amount to be refunded: | \$ |
| | | | | Amount to be charged: | \$ 1030.00 |
| a. <input type="checkbox"/> A check in the amount of \$ to cover the above fees is enclosed. | | | | | |
| b. <input type="checkbox"/> Please charge my Deposit Account No. 05-1323 (Attorney Docket No. 095309.57639US) in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | |
| c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 05-1323 (Attorney Docket No. 095309.57639US). A duplicate copy of this sheet is enclosed. | | | | | |
| d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | |
| SEND ALL CORRESPONDENCE TO: Crowell & Moring, LLP Intellectual Property Group P.O. Box 14300 Washington, D.C. 20044-4300 Tel. No. (202) 624-2500 Fax No. (202) 628-8844 GRE/VJS/stw (doc no. 2759723v1) | | | | | |
| | | |  SIGNATURE Vincent J. Sunderdick NAME 29.004 REGISTRATION NUMBER April 28, 2006 DATE | | |

10/577807

IAP17 Rec'd PCT/PTO 28 APR 2006

Crowell & Moring LLP

FILED WITH FEES UNDER 35 U.S.C. § 371

Today's Date: April 28, 2006

Attorney Docket: 095309/57639US
First Named Inventor: Helmut AUGUSTIN
Serial No: (To Be Assigned)
(PCT/EP2004/011239)
Filing Date: April 28, 2006

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- X Transmittal Letter to the United States DO/EO/US (35 USC § 371) (in duplicate) w/Credit Card Payment Form (PTO-2038) for \$1030.00.
- X Application Data Sheet (Five (5) pages).
- X English Translation of International Application, including 17 Claims and Abstract (19 pages); and translation of amended sheets (Nine (9) pages).
- X Preliminary Amendment including Abstract (Ten (10) pages).
- X Submission of Substitute Specification (One (1) page) and Clean/Marked-up Copy Thereof.
- X Form PCT/IB/308 (One (1) page).
- X International Preliminary Examination Report and Translation Thereof (w/amended sheets).
- X Information Disclosure Statement, PTO-1449, International Search Report dated December 28, 2004 including English Translation of the pertinent portion (Ten (10) pages); German Office Action dated November 24, 2004 (Two (2) pages) and Seven (7) cited non-US references.

GRE:VJS:stw

DUE DATE: April 28, 2006

10/577807

IAP17 Rec'd PCT/PTO 28 APR 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : (To Be Assigned) Confirmation No. : (TBA)
(PCT/EP2004/011239)
First Named Inventor : Helmut AUGUSTIN
Filed : April 28, 2006
TC/A.U. : (To Be Assigned)
Examiner : (To Be Assigned)
Docket No. : 095309.57639US
Customer No. : 23911
Title : Tool and Method For The Internal High Pressure Forming
of a Hollow Section

SUBMISSION OF SUBSTITUTE SPECIFICATION

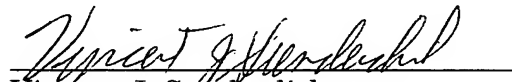
Mail Stop
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Attached are a Substitute Specification and a marked-up copy of the original specification. I certify that said substitute specification contains no new matter and includes the changes indicated in the marked-up copy of the original specification.

Respectfully submitted,

April 28, 2006



Vincent J. Sunderdick
Registration No. 29,004

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